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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

455,805

Filing Date

12/07/1999

First Named Inventor

Susan D. Woolf et al.

Group Art Unit

2176

Examiner Name

Nguyen, M.

Attorney Docket Number

003797.78802

Total Number of Pages in This Submission

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Technology Center 2100

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

1 PTO 1449 Form and 3 non-
patent documents

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Gary D. Fedorochko, Reg. No. 35,509

Signature

Gary D. Fedorochko

Date

October 8, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| | | |
|---------------------------|---|----------------------------------|
| In re Application of: |) | Group Art Unit: 2176 |
| Susan D. Woolf et al. |) | Examiner: Nguyen, M. |
| Serial Number: 09/455,805 |) | Attorney Docket No. 003797.78802 |
| Filed: December 7, 1999 |) | Confirmation No. 5591 |

For: SYSTEM AND METHOD FOR ANNOTATING AN ELECTRONIC
DOCUMENT INDEPENDENTLY OF ITS CONTENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed are PTO Forms 1449 listing art for consideration by the Examiner and a copy of each of the identified documents.

The references contained in this information disclosure statement were cited by the Examiner in a Notice of References Cited, which accompanied the Office Action dated September 25, 2003, in related U.S. Application Serial No. 09/456,249.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

10/10/2003 EAREGAY1 00000117 190733 09455805

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Information Disclosure Statement

Serial No. 09/455,805

Page 2

Consideration of all information is respectfully requested.

The undersigned certifies under 37 C.F.R. § 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The accompanying Information Disclosure Statement is being filed after the mailing date of a final Office Action on the merits. Therefore, authorization is given to charge Deposit Account No. 19-0733 for the \$180.00 fee. In the event there is a discrepancy with the fee, please charge or credit Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

Information Disclosure Statement

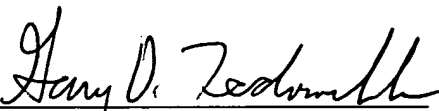
Serial No. 09/455,805

Page 3

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed PTO Forms 1449 in the appropriate place to indicate that the documents have been considered, and return a copy of the initialed forms to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

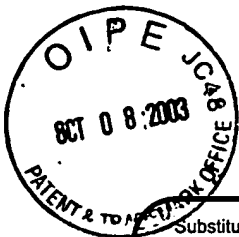
Date: October 8, 2003

By: 

Gary D. Fedorochko

Registration No. 35,509

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

| | |
|------------------------|-----------------------|
| Application Number | 09/455,805 |
| Filing Date | 12/07/1999 |
| First Named Inventor | Susan D. Woolf et al. |
| Group Art Unit | 2176 |
| Examiner Name | Nguyen, M. |
| Attorney Docket Number | 003797.78802 |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|------------------------|--------------------------|---|----------------|
| | | "Hucklefine Defined", 4/1990, "http://www.yfem.org/artists/mmasher/huck.html", pages 1-3 | |
| | | "Tour of Korea Hypercard Stack", 1996, Grose Educational Media, "http://www.entrenet.com/~groedmed/kor2.html", pages 1-2. | |
| | | Bizweb2000.com - screenshots of an e-book, published on 5/1999, pages 1-4 "http://web.archive.org/web/"http://www.bizweb2000.com/eshots.htm". | |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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